# AGREEMENT GOVERNING THE DELEGATION OF STATUTORY CERTIFICATION AND SERVICES FOR SHIPS REGISTERED IN ESTONIA BETWEEN

# THE TRANSPORT ADMINISTRATION OF THE REPUBLIC OF ESTONIA AND LLOYD'S REGISTER GROUP LIMITED

#### 1 Application

This Agreement delegates authority and sets forth the conditions for cooperation between the Transport Administration of the Republic of Estonia (hereinafter referred to as "the Administration") and LLOYD'S REGISTER GROUP LIMITED (hereinafter referred to as "the RO") with respect to the performance of statutory certification and services on behalf of the Administration, as outlined in the Code for Recognized Organizations (RO Code, IMO Resolutions MSC.349(92) and MEPC.237(65)), and with Regulation (EC) No 391/2009 and Directive 2009/15/EC of the European Parliament and of the Council, as amended.

#### 2 Purpose

The purpose of this Agreement is to delegate authority to the RO to perform statutory certification and services on ships registered in Estonia and classed by the RO, unless indicated otherwise, and to define the scope, terms, conditions and requirements of that delegation.

#### 3 General conditions

- 3.1 Statutory certification and services comprise the assessment of Estonian registered ships including newbuildings and ships flagging in to the Estonian register and/or ship management companies, in order to determine the compliance of such ships and/or companies with the applicable requirements of the international conventions, codes, circulars and instructions, relevant EU law and national legislation, interpretations and circulars, as amended (hereinafter referred to as "applicable instruments") and the issuance of, or in support of the issuance of, relevant certificates as well as the extension of the validity of the certificates and documents as set out in the Appendix hereto.
- 3.2 In order for the RO to be considered for authorization under the Estonian flag and in order for the RO to maintain any authorization according to the present Agreement, the RO shall be recognised by the European Community in accordance with Regulation (EC) No. 391/2009 and at all times be in compliance with this regulation.
- 3.3 The RO shall have established a quality management system complying with the provisions of the RO Code and make it available upon request for the Administration for supervision functions as required in the article 11(1) of Regulation (EC) No. 391/2009.
- 3.4 In so far as the statutory certification and services covered by this Agreement are concerned, the RO agrees to cooperate with Port State control authorities to facilitate the rectification of reported deficiencies or other discrepancies on behalf of the Administration when so requested, and report to the Administration.



3.5 Statutory services rendered and statutory certificates issued by the RO will be accepted as services rendered by or certificates issued by the Administration provided that the RO maintains compliance with the provisions of the Agreement.

# 4 The execution of functions under authorization

- 4.1 Functions in accordance with general authorization:
  - .1 the RO is hereby authorized to carry out statutory certification and services on behalf of the Administration with respect to ships, registered in Estonia, and/or companies responsible for operating such ships, to the extent specified in the Appendix to this Agreement and to require repairs to ships in accordance with applicable instruments;
  - .2 surveys shall be carried out in accordance with applicable instruments and, where applicable, the Survey Guidelines under the Harmonized System of Survey and Certification (HSSC), 2019 (Resolution A.1140(31)), as may be amended; and
  - .3 the RO and others acting on its behalf are authorized to take relevant control measures in accordance with their standard procedures or as explicitly advised otherwise by the Administration as is necessary to ensure that matters subject to surveys, audits and inspections correspond substantially with the particulars of the ship's certificates or the requirements of applicable instruments.
  - .4 Where, in a matter within the RO's scope of authority under this Agreement, the general condition of a ship, its equipment or operation, or the state of the company in important respects does not correspond substantially with the particulars of any of the certificates, or if the condition of the ship is found to be such that it is not fit to proceed to sea without danger to the ship or persons on board or presents an unreasonable threat to the marine environment or to third parties, the RO concerned shall inform the Administration immediately, whereupon the Administration and the RO will use their best endeavours to ensure necessary corrective actions to be taken by the owner or any other relevant party to rectify the defects;
  - .5 If such corrective actions are not taken, the RO shall withdraw the relevant certificates and notify the Administration immediately. If the ship is in a foreign port, the appropriate authorities of the Port State shall also be notified without delay. In the event that deficiencies or discrepancies prove to be beyond the scope of the authorization set out in the Appendix, the RO surveyor concerned shall inform the Administration immediately, whereupon the Administration shall determine what further action the RO shall take on behalf of the Administration.

# 4.2 Functions in accordance with special (additional) authorization

Authorizations for services outside the scope of the Appendix to this Agreement will be dealt with as mutually agreed on a case-by-case basis.

4.3 Relationship between the RO's statutory and other related activities

The RO and its staff shall not engage in any activities that may conflict with their independence of judgement and integrity in relation to their statutory certification and services. The RO and its staff

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responsible for carrying out the statutory certification and services shall not be the designer, manufacturer, supplier, installer, purchaser, owner, user or maintainer of the item subject to the statutory certification and services, nor the authorized representative of any of these parties. The RO shall not be substantially dependent on a single commercial enterprise for its revenue.

## 5 Legal basis of the functions under authorization

5.1 Acts, regulations and supplementary provisions

This Agreement is made pursuant to the Estonian legislation and in compliance with the applicable instruments.

#### 5.2 Interpretations

While interpretations of the applicable instruments, as well as the determination of equivalents or the acceptance of substitutes to the requirements of the applicable instruments are the prerogative of the Administration, the RO will cooperate in their establishment as necessary and shall decide on interpretations and equivalents within the framework of the Agreement and according to the following hierarchy of rules and guidance:

- a) Estonian law;
- b) resolutions, circulars and other statutory documents issued by the IMO;
- c) regulations, interpretations and other communications issued by the European Union;
- d) procedures, procedural requirements, unified requirements and unified interpretations issued by IACS;
- e) orders, regulations, interpretations and circulars, etc. issued by the Administration.

# 5.3 Deviations, exemptions and equivalent solutions

Exemptions and deviations from, and equivalents to, the requirements of the applicable instruments are the prerogative of the Administration and must be approved by the Administration prior to issuance. In instances where, temporarily, the requirements of an applicable instrument cannot be met under particular circumstances, proposals for such measures or supplementary equipment as may be available to permit the ship to proceed to a suitable port where permanent repairs or rectifications can be effected or replacement equipment fitted are to be acceptable to the RO and agreed by the Administration.

## 6 Reporting to the Administration

6.1 Procedures for reporting in the case of general authorization

The RO shall establish relevant procedures for reporting to the Administration in the case of general authorization, in accordance with the requirements of the Agreement and the additional requirements of the Administration. Information required under this section may be reported electronically to the Administration via the RO's online survey status and certification system.

6.2 Procedures for reporting in the case of special authorization

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The RO shall establish relevant procedures for reporting to the Administration in the case of special authorization, in accordance with the requirements of the Agreement and the additional requirements of the Administration accompanying each special authorization. Information required under this section may be reported electronically to the Administration via the RO's online survey status and certification system.

6.3 Reporting on classification of ships (assignment of class, changes and withdrawals, as applicable), and reporting of cases where a ship did not in all respects remain fit to proceed to sea without danger to the ship or persons on board, or presenting unreasonable threat of harm to the environment, the preferred line of communication is by e-mail: info@transpordiamet.ee and telephone number for onduty (24/7) (+372) 620 5770.

#### 6.4 Other reporting:

- .1 whenever a request for classification of a ship to which statutory requirements apply, that will be or has been registered in Estonia is received, the RO shall immediately inform the Administration;
- .2 the RO shall notify the Administration immediately upon becoming aware of a situation involving a major deficiency or serious safety-related issue that would normally be considered sufficient to detain a ship from proceeding to sea pending correction;
- .3 the RO shall notify the Administration immediately upon becoming aware of a situation aboard a ship or within a company responsible for operating such ships involving a major non-conformity, as defined in the revised Guidelines on the implementation of the International Safety Management (ISM) Code by Administrations (resolution A.1118(30), as may be amended);
- .4 the above notifications shall contain the name of the company or ship, the IMO number, the official number, if applicable, the company identification number and a description of the major non-conformity, deficiency or issue;
- .5 the RO shall inform the Administration, as soon as possible, of any dangerous occurrences, accidents, machinery or structural breakdowns, or failures, which relate to the scope of statutory certification and services as defined in the RO Code, that they are aware of on a ship;
- .6 the RO shall report to the Administration in writing, if applicable, of any ships removed from the RO's list of classed/certified ships for which the RO has performed statutory certification and services. The report should contain a description of the reason(s) for removal from class, and this should be made within thirty (30) days of the removal decision becoming effective; and
- .7 in cases of transfer of the certification of the ship from one RO to another, the gaining organization shall, within (thirty (30) days) from the issuance of the certificates, advise the Administration and the losing organization of the date of issue of the certificates and confirm the date, place and action taken to satisfy each overdue survey, overdue recommendation and overdue condition of class.

# 7 Development of rules and/or regulations – Information

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# 7.1 Cooperation in connection with the development of rules and/or regulations - liaison meetings

The RO shall allow participation in the development and review of its rules, procedures and/or regulations, specifically in the review process prior to finalization, by representatives of the Administration. The Administration should notify the RO on the occasion that it decides to participate in the process.

# 7.2 Exchange of rules and/or regulations and information:

- .1 the Administration will provide the RO with all necessary documentation for the purpose of the RO's provision of statutory certification and services, and notify the RO of any additions, deletions or revisions thereto in advance of their effective date and specify whether the Administration's standards go beyond convention requirements in any respect;
- .2 the Administration shall be granted access upon request to all plans and documents including reports and records on surveys, audits and inspections on the basis of which certificates are, or have been issued or endorsed by the RO;
- .3 the RO should provide free electronic access to the latest editions of its rules and/or regulations applicable to ships under the scope of authorization in the Appendix and listing of ships in its class and communicate the new rules and regulations to the Administration as early as practicable; and
- .4 the RO and the Administration, recognizing the importance of technical liaison, agree to cooperate towards this end and maintain an effective dialogue.

#### 7.3 Language and form

The RO shall establish, publish and systematically maintain its rules and/or regulations printed and/or in the electronic environment, an up-to-date version of which shall be provided in the English language, for the design, construction and certification of ships and their associated essential engineering systems.

#### 8 Other conditions

#### 8.1 Remuneration

Unless agreed otherwise, remuneration for statutory certification and services carried out by the RO on behalf of the Administration will be charged by the RO directly to the party requesting such services.

#### 8.2 Rules for administrative proceedings

While the RO is performing the administrative functions on behalf of the Administration, the relevant legislation of the Administrative Procedure Act and of the Maritime Safety Act is to be followed (www.riigiteataja.ee).

#### 8.3 Confidentiality

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- .1 The RO, it's officers, employees or agents agree to maintain as confidential and not to disclose to any third party any information derived from the Administration in connection with the services provided without the consent of the Administration except to the extent that it is reasonably necessary to enable the RO to carry out the services in accordance with the terms of this Agreement.
  - Unless provided otherwise in this Agreement, the Administration agrees to maintain as confidential and not to disclose to any third party any information derived from the RO in connection with the control functions exercised by the Administration in accordance with the terms of this Agreement.
- .2 The RO and its officers, employees and agents shall, when acting on behalf of the Administration, be subject to the general provisions of Estonian law on confidentiality, of the Personal Data Protection Act and of Regulation (EC) No. 2016/679 of the European Parliament and of the Council. However, any document or other information may be released by the RO if required by applicable legislation, court order or legal proceedings.

## 8.4 Liability and financial responsibility:

If a liability arising out of any marine casualty is finally and definitively imposed on the Administration by a court of law together with a requirement to compensate the injured parties:

- .1 for loss or damage to property or personal injury or death which is proved in that court of law to have been caused by a wilful act or omission or gross negligence by the RO, its bodies, officers, employees, agents or others who act on behalf of the RO under this Agreement, the Administration is, on behalf of the Government of Estonia, entitled to financial compensation from the RO to the extent that the said loss, damage, injury or death is, as decided by that court, caused by the RO;
- .2 for personal injury or death, which is proved in a court of law to have been caused by any negligent or reckless act or omission by the RO, its bodies, officers, employees, agents or others who act on behalf of the RO under this Agreement, the Administration is, on behalf of the Government of Estonia, entitled to a financial compensation from the RO to the extent that the said personal injury or death is, as decided by that court, caused by the RO up to but not exceeding an amount of €5 million; or
- .3 for loss or damage to property, which is proved in a court of law, to have been caused by any negligent or reckless act or omission by the RO, its bodies, officers, employees, agents or others who act on behalf of the RO under this Agreement, the Administration is, on behalf of the Government of Estonia, entitled to a financial compensation from the RO to the extent that the said loss or damage is, as decided by that court, caused by the RO up to but not exceeding an amount of €2.5 million.
- .4 neither party shall be liable to the other for any special, indirect or consequential losses or damages resulting from or arising out of services performed under this Agreement, including without limitation loss of profit, loss of production, loss of contract, loss of use, business interruption or any other special, indirect or consequential losses suffered or incurred by any party howsoever caused.



- .5 if the Administration is summoned or is expected to be summoned to answer for such liability as mentioned above in this Article, the RO shall be informed without undue delay. The Administration shall, for information purposes, send all claims, documents and other relevant material to the RO. The RO shall be entitled to provide support and/or participate in the defence of such claim, if the RO, in its sole discretion, deems it necessary or appropriate. If the Administration fails to plead all available defensive measures then the RO shall not be required to indemnify the Administration in accordance with sub-clauses .1, .2 and .3 above.
- .6 the Administration shall not enter into any commitment or agreement, which involves acceptance of such liability as mentioned in sub-clauses .1, .2, and .3 above, without the prior written consent of the RO.
- .7 while acting for the Administration under this Agreement, the RO shall be free to create contracts directly with its clients and such contracts may contain the RO's normal contractual conditions for limiting its legal liability; and
- .8 in the context of this Agreement, a professional indemnity or professional liability insurance is to be effected by the RO.

#### 8.5 Entry into force

This Agreement enters into force a day after each party has signed and notified the other in writing of duly signing it.

#### 8.6 Termination

- .1 The Administration is entitled to withdraw or suspend its authorization to the RO, if the latter substantially or repeatedly fails to fulfil the obligations of this Agreement;
- .2 before a withdrawal or suspension, the Administration shall give the RO an opportunity to respond in time to the alleged poor performance and, if necessary, to undertake the necessary preventive and remedial action to ensure full compliance;
- .3 this Agreement may be terminated by either party by giving the other party 12 months written notice;
- .4 if this Agreement is breached substantially or repeatedly by one of the parties, the other party will notify the violating party of its breach in writing stating the reasons to give the notified party an opportunity to remedy the breach. The latter shall inform the former within 30 days about the steps it intends to take, and remedy the breach within 90 days, failing which the notifying party has the right to terminate the Agreement immediately;
- .5 in the event that the EU Commission withdraws recognition of the RO in accordance with Article 7 of Regulation (EC) No. 391/2009 as amended, the Administration may immediately terminate this Agreement in writing without notice. The Administration and the RO agree upon the consequences of the withdrawal as soon as reasonably practicable;
- .6 if the EU Commission decides to withdraw the recognition of the RO, the RO is not allowed to issue any certificate to Estonian ships or to renew such a certificate; however, certificates issued or renewed before the withdrawal remain valid.

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#### 8.7 Breach of agreement

If this Agreement is breached by one of the parties, the other party will notify the violating party of its breach in writing stating the reasons to allow the notified party the opportunity to remedy the breach within 90 days at the latest, failing which the notifying Party has the right to terminate the Agreement immediately. The latter shall inform the former within 30 days about the steps it intends to take and remedy the breach without undue delay.

#### 8.8 Settlement of disputes

The Agreement shall be governed by and construed in accordance with Estonian law. Any dispute arising in connection with this Agreement which cannot be settled by private negotiations between the parties shall be settled finally by Harju County Court according to Estonian law.

In the performance of certification services hereunder, the RO, its officers, employees and others acting on its behalf are entitled to all the protections of law and the same defences and/or counterclaims as would be available to the Administration and its own staff surveyors or employees as if the latter had conducted the statutory certification services in question.

## 8.9 Surveyors and use of service suppliers

- .1 .1 The RO shall perform statutory certification and services by the use of only exclusive surveyors and auditors, being persons solely employed by the RO, duly qualified, trained and authorized to execute all duties and activities incumbent upon their employer, within their level of work responsibility;
- .2 however, if the RO finds in exceptional and duly justified cases that its own exclusive surveyor is not available, the RO shall inform the Administration who may then nominate an exclusive surveyor of another RO;
- .3 in accordance with applicable instruments, the RO may choose to outsource any service that affects conformity to requirements or to accept work of a third party approved by the RO. The RO shall ensure that it fully controls the performance of such services through its quality management system. For the purpose of accountability to the Administration, the work performed by the subcontracted organization or service supplier constitutes the work of the RO; and
- .4 while still remaining responsible for the certification on behalf of the Administration, the RO may subcontract radio surveys to non-exclusive surveyors in accordance with this Agreement.

#### 8.10 Amendments

Amendments to this Agreement and the Appendix will become effective only after consultation and written agreement between the Administration and the RO.



9 Specification of the authorization from the Administration to the RO is attached to this Agreement as set out in the Appendix hereto.

#### 10 The Administration's supervision of duties delegated to the RO

The Administration shall be entitled to satisfy itself that the RO is performing its services in compliance with the Agreement by establishing, or participating in, as applicable, an RO oversight programme for the purpose of supervising the duties delegated to the RO under this Agreement by audits, inspections, supplementary surveys, vertical contract audits or other monitoring activities. For the purpose of this paragraph:

- .1 the Administration shall, upon request, have access to the documentation of the quality management system of the RO;
- .2 the Administration shall, upon request, have access to the internal instructions, circulars and guidelines of the RO;
- .3 the Administration shall, upon request, have access to the RO's documentation relevant to the Administration's fleet;
- .4 the RO shall fully cooperate with the Administration's inspection and verification procedures;
- .5 the RO shall keep the information and statistics appropriately on cases such as, but not limited to, damage and casualties relevant to the Administration's fleet;
- .6 the RO shall keep the information and statistics of port State control detentions and deficiencies allocated to the responsibility of the RO; and
- .7 the Administration may report to the Commission of the European Union and the Member States of the European Union on the Estonian monitoring of the RO.

**THIS AGREEMENT COMMENCES ON** the date indicated in point 8.5 of this Agreement. IN WITNESS WHEREOF the undersigned, duly authorized by the parties, have signed this Agreement.

For the Lloyd's Register Group Limited

71 Fenchurch Street London EC3M 4BS UK

Business Director for Marine and Offshore

Date: 9.3.22

For the Transport Administration of the Republic of Estonia

Valge 4

Tallinn 11413

Estonia

info@transpordiamet.ee

Marek Rauk

Director

Safety and Supervision Division

Date: 07.02.22

#### Appendix of the

# AGREEMENT GOVERNING THE DELEGATION OF STATUTORY CERTIFICATION AND SERVICES FOR SHIPS REGISTERED IN ESTONIA BETWEEN THE TRANSPORT ADMINISTRATION OF THE REPUBLIC OF ESTONIA AND LLOYD'S REGISTER GROUP LIMITED

# APPLICABLE INSTRUMENTS and DEGREE OF AUTHORIZATION

The RO is hereby authorized as listed below to carry out statutory certification<sup>1</sup> and services and require repairs on behalf of the Administration in accordance with the applicable instruments.

The following three types of authorization apply as noted:

F: Full Authorization to perform plan review, approve and inspect materials and equipment and carry out surveys, inspections and audits, and issue and/or revoke necessary interim and full-term certificates.

P: Partial Authorization to perform plan review, carry out surveys, inspections and audits, and possibly issue interim certificates (specific guidance to be provided by the Administration and full-term certificate is to be issued by the Administration).

L: Limited Authorization to account for other special categories not covered by the above, such as case-by-case authorization or geographical limitations.

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<sup>&</sup>lt;sup>1</sup> Certificates required in compliance with an international convention, code or national legislation, shall be issued in a format specified in that instrument and may be issued in an electronic format according to FAL.5/Circ.39/rev.2, as amended and substitute national regulations.

		Type of Authorization
1	SOLAS CONVENTION 1974 AND PROTOCOL 1978/1988, AS AMENDED	
	Approval of drawings, calculations, specifications,	F
	manuals, materials and equipment	_
1.1	Cargo Ship Safety Construction Certificate	
	Stability documentation	F
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and	F
	renewal/endorsement of certificate	
	Exemption certificate	L
1.2	Cargo Ship Safety Equipment Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and	F
	renewal/endorsement of certificate	
	Exemption certificate	L
1.3	Cargo Ship Safety Radio Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and	F
	renewal/endorsement of certificate	
	Exemption certificate	L
1.4	Passenger Ship Safety Certificate	
	Initial survey and issuance of certificate	F
	Renewal survey and renewal of certificate	F
	Exemption certificate	L
1.5	Grain loading Declaration	
	Approval of drawings, calculations, specifications and manuals	F
	Issuance of the declaration	F
1.6	Document of Compliance with the Special Requirements for Ships carrying Dangerous Goods	_
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L
1.7	Cargo Securing Manual	
	Approval of drawings, calculations, specifications, manual	F
1.8	CARRIAGE OF LIQUEFIED GASES IN BULK	
1.8.1	Certificate of ships built prior to 31 October 1976, EGC Code	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L



before 1 July 1986, GC Code Initial survey and issuance of certificate	
initial survey and issuance of certificate	F
Renewal, annual and intermediate survey and	<u> </u>
renewal/endorsement of certificate	1
Exemption certificate	L
International certificate for ships built on or after 1 July 1986, IGC Code	
Initial survey and issuance of certificate	F
Renewal, annual and intermediate survey and	F
renewal/endorsement of certificate	1
	L
CARRIAGE IN BULK OF DANGEROUS CHEMICALS	
Certificate for ships built before 1 July 1986, BCH Code	
Initial survey and issuance of certificate	F
	F
	L
International certificate for ships built on or after 1 July 1986, IBC Code	
Initial survey and issuance of certificate	F
Renewal, annual and intermediate survey and	F
	L
High Speed Craft Safety Certificate, HSC Code 1994	
	F
	F
renewal/endorsement of certificate	
	L
High Speed Craft Safety Certificate, HSC Code 2000	
B	F
intermediate survey and	F
	L
Interim verification and improve of and Code	
Initial verification and issuance of certificate	L
Periodical verification and and and and and and and and and an	L
Renewal verification and renewal of certificate	L
Safety Management Cartificate ISM C-1	L
Interim verification and issuance of acrification	
Initial verification and issuance of contificate	L
Periodical verification and and argament of anti-C	L
Renewal verification and renewal of certificate	L
International Ship Security Certificate ISBS Cod-	L
Approval of the Ship Security Plan	L
	International certificate for ships built on or after 1 July 1986, IGC Code Initial survey and issuance of certificate Renewal, annual and intermediate survey and renewal/endorsement of certificate Exemption certificate CARRIAGE IN BULK OF DANGEROUS CHEMICALS Certificate for ships built before 1 July 1986, BCH Code Initial survey and issuance of certificate Renewal, annual and intermediate survey and renewal/endorsement of certificate Exemption certificate International certificate for ships built on or after 1 July 1986, IBC Code Initial survey and issuance of certificate Renewal, annual and intermediate survey and renewal/endorsement of certificate Exemption certificate High Speed Craft Safety Certificate, HSC Code 1994 Initial survey and issuance of certificate Renewal, annual and intermediate survey and renewal/endorsement of certificate Exemption certificate Exemption certificate High Speed Craft Safety Certificate, HSC Code 2000 Initial survey and issuance of certificate Exemption certificate



	Interim verification and issuance of interim certificate	L
U	Initial verification and issuance of certificate	L
	Periodical verification and endorsement of certificate	L
	Renewal verification and renewal of certificate	L
1.15	Agreement concerning specific stability requirements for Ro-Ro passenger ships undertaking regular scheduled international voyages between or to or from designated ports in north-west Europe and the Baltic Sea, STOCKHOLM AGREEMENT	
	Approval of calculations and issuance of Letter of Compliance	F
1.16	Polar Ship Certificate, POLAR Code	
	Initial survey and issuance of certificate	F
	Renewal, annual, periodical and intermediate survey and renewal/endorsement of certificate	F

2	MARPOL Convention 1973/78, as amended	
	Approval of drawings, calculations, specifications,	F
	manuals, materials and equipment	
2.1	International Oil Pollution Prevention Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L
2.2	International Pollution Prevention Certificate for	
	Carriage of Noxious Liquid Substances in Bulk	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L
2.3	Approval of Damage Stability	
	Approval of drawings, calculations, specifications, manuals	F
2.4	International Sewage Pollution Prevention Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L
2.5	International Air Pollution Prevention Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	L
2.6	Engine International Air Pollution Prevention Certificate	
	Initial survey and issuance of certificate	F
2.7	International Energy Efficiency Certificate	
	Initial survey and issuance of certificate	F



2.8	Statement of Compliance – Fuel Oil Consumption Reporting	
	IMO Data Collection System for Fuel Oil Consumption of	F
	Ships:	
	Issuance of Confirmation of Compliance – Review of	
	SEEMP Part II	
	Issuance of the Statement of Compliance - Fuel Oil	
	Consumption Reporting	
	Submission of the reported data to the IMO database	

3	INTERNATIONAL	REGULATIONS	ON	
	PREVENTING COLLISION			
	Approval of drawings, calcu	llations, specifications, n	nanuals	F

4	INTERNATIONAL CONVENTION AND TONNAGE MEASUREMENT OF SHIPS, 1969	
	Measurement of ships and calculation	F
	Issuance of International Tonnage Certificate (1969)	$\mathbf{F}$
	Alternatively a certificate of tonnage measurement according	
	to regulations previously applied	

5	ILO CONVENTIONS	
5.1	ILO conventions on Accommodation of Crews, No. 92,133	
	Approval of drawings and specifications	F
	Initial survey and survey report	F
5.2	ILO Cargo Gear Convention, No. 152	
	Survey and issuance of certificates	F
5.3	Maritime Labour Convention, 2006 (MLC, 2006)	
	Approval of drawings and specifications	L_
	Interim verification and issuance of certificate	L
	Initial verification and issuance of certificate	L
	Periodical verification and endorsement of certificate	L
	Renewal verification and renewal of certificate	L
	Initial survey and survey report	L

6	INTERNATIONAL CONVENTION ON LOAD LINES, 1966 AND PROTOCOL 1988	
	Approval of drawings, calculations and specifications	F
	Approval of intact stability	F
	Initial survey and issuance of certificate	F
	Renewal and annual survey, renewal/endorsement of certificate	F
	Exemption certificate	L



7	CODE FOR THE CONSTRUCTION AND EQUIPMENT OF MOBILE OFFSHORE DRILLING UNITS, 1979, 1989 and 2009	
	Approval of drawings, specifications and calculations including stability	F
	Initial survey and issuance of certificate	F
	Renewal, annual survey, renewal/endorsement of certificate	F
	Exemption certificate	L

8	CODE OF SAFETY FOR DYNAMICALLY SUPPORTED CRAFT	
8.1	Construction and Equipment Certificate	
	Initial survey and issuance of certificate	F
	Renewal, annual and intermediate survey and renewal/endorsement of certificate	F
	Exemption certificate	Ť

9	CODE OF SAFETY FOR SPECIAL PURPOSE SHIP	
9.1	Special Purpose Ship Safety Certificate, IMO Res.A534(13)	
	Initial survey and issuance of certificate	F
	Renewal, periodic survey and renewal/endorsement of certificate	F
	Exemption certificate	L
9.2	Special Purpose Ship Safety Certificate, SPS Code 2004	
	Initial survey and issuance of certificate	F
	Renewal, periodic survey and renewal/endorsement of certificate	F
	Exemption certificate	T
9.3	Special Purpose Ship Safety Certificate, SPS Code 2008	L
	Initial survey and issuance of certificate	F
	Renewal, periodic survey and renewal/endorsement of certificate	F
	Exemption certificate	T

10	INTERNATIONAL CONVENTION FOR THE CONTROL AND MANAGEMENT OF SHIPS' BALLAST WATER AND SEDIMENTS, 2004	
10.1	International Ballast Water Management Certificate	
	Approval of drawings, specifications and calculations	F
	Initial survey and issuance of the certificate	F
	Renewal and annual survey, renewal/endorsement of certificate	F
	Exemptions certificate	I <sub>x</sub>



11	INTERNATIONAL CONVENTION ON THE CONTROL OF HARMFUL ANTI-FOULING SYSTEMS ON SHIPS, AFS, 2001	
11.1	International Anti-Fouling Systems Certificate	
	Approval of drawings, specifications and calculations	F
	Initial survey and issuance of certificate	F
	Endorsement of the certificate	F
	Declaration on Anti-Fouling System	
	Approval of drawings, specifications and calculations	F
	Initial survey and issuance of declaration	F

12	INTERNATIONAL CONVENTION FOR SAFE	
	CONTAINERS, CSC 1972	
	Testing, inspection and approval of containers	F

13	HONG KONG INTERNATIONAL CONVENTION FOR THE SAFE AND ENVIRONMENTALLY SOUND RECYCLING OF SHIPS, 2009 (HKSRC 2009)	
	International Certificate on Inventory of Hazardous Materials	F
	International Ready for Recycling Certificate	F

14	REGULATION (EU) No. 1257/2013 ON SHIP RECYCLING	
	Certificate on Inventory of Hazardous Materials	F
	Ready for Recycling Certificate	F

For the Lloyd's Register Group Limited

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Business Director for Marine and Offshore

Date: ..9.3.22

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